

Attorney Docket No.

Patent 027260-515

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Hirofumi TOTSUKA et al.

Group Art Unit: 2661

Application No.: 10/081,234

Examiner: Unassigned

Filing Date:

February 25, 2002

Confirmation No.: 3853

Title: OPTICAL TRANSCEIVER, A MULTIPLEXING INTEGRATED CIRCUIT, A DEMULTIPLEXING INTEGRATED CIRCUIT, AN INTEGRAL MULTIPLEXING/DEMULTIPLEXING INTEGRATED

CIRCUIT, AND METHOD FOR EVALUATING AND TESTING THE OPTICAL TRANSCEIVER

#### SECOND' INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

| the al | Enclosed is a <u>SECOND</u> Information Disclosure Statement and accompanying form PTO-1449 for bove-identified patent application.                                                                                                         |
|--------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| X<br>X | No additional fee for submission of an IDS is required.  The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.  A statement under 37 C.F.R. § 1.97(e) is also enclosed.                                          |
|        | A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.  Charge to Deposit Account No. 02-4800 for the fee due.  A check in the amount of is enclosed for the fee due. |
|        | The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. paper is submitted in duplicate.      |
|        | Respectfully submitted,                                                                                                                                                                                                                     |

By

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Date: January 20, 2004

Ellen Marcie Emas

Registration No. 32,131



BURNS, DOANE, SWECKER & MATHIS, L.L.P.

BURNS DOANE BURNS DOANE SWECKER & MATHIS LLP

**SECOND** INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

(1/04)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Hirofumi TOTSUKA et al.

Application No.: 10/081,234

Filed: February 25, 2002

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OPTICAL TRANSCEIVER, A
MULTIPLEXING INTEGRATED
CIRCUIT, A DEMULTIPLEXING
INTEGRATED CIRCUIT, AN
INTEGRAL MULTIPLEXING/
DEMULTIPLEXING INTEGRATED
CIRCUIT, AND METHOD FOR
EVALUATING AND TESTING THE
OPTICAL TRANSCEIVER

Group Art Unit: 2661

Examiner: Unassigned

Confirmation No.: 3853

### SECOND INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

Date: January 20, 2004

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information cited in an European Search Report dated November 28, 2003 to a corresponding European Patent Application No. 02004934.2 (copy enclosed) in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Patent No. 4,926,425 to Hedtke et al, issued May 15, 1990

U.S. Patent No. 5,802,073 to Platt, issued September 1, 1998;

U.S. Patent No. 5,787,114 to Ramamurthy et al., issued July 28, 1998;

N. Mukherjee, et al., "Built-In Self-Test: A Complete Test Solution for Telecommunication Systems", IEEE Communications Magazine, Vol. 37, No. 6, June 1999, pages 72-78; and

M. Gagnon, et al., "Optical Communication Channel Test Using Bist Approaches", Proceedings of the International Test Conference, ITC 1997, IEEE, Vol. Conf. 28, November 1, 1997, pages 626-635.

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SECOND Inform...on Disclosure Statement
Application No. 10/081,234
Attorney's Docket No. 027260-515
Page 2

I, the undersigned, hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date January 20, 2004

By: Ellen Marcie Emas Registration No. 32,131

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

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| Substitute for form | 1449A/PTO | & | 144 | 9B. | PT | O | 7 |
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## SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| _ | (use as many | y sheets | as necessary) |   |
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| Com                    | e if Known     | O B                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |            |
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| Application Number     | 10/081,234     | 176178                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <i>\$1</i> |
| Filing Date            | February 25, 2 | 00/2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 4          |
| First Named Inventor   | Hirofumi TOTS  | Uka efal. 03 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 07         |
| Examiner Name          | Unassigned     | THE STATE OF THE S | \$         |
| Attorney Docket Number | 027260-515     | PADEMAR                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 60         |

|                      | U.S. PATENT DOCUMENTS |                         |                                                 |                                        |  |  |  |  |  |
|----------------------|-----------------------|-------------------------|-------------------------------------------------|----------------------------------------|--|--|--|--|--|
| Examiner<br>Initials | Document<br>Number    | Kind Code<br>(if known) | Name of Patentee or Applicant of Cited Document | Issue/Publication Date<br>(MM-DD-YYYY) |  |  |  |  |  |
|                      | 4,926,425             |                         | Hedtke et al.                                   | 05-15-1990                             |  |  |  |  |  |
|                      | 5,802,073             |                         | Platt                                           | 09-01-1998                             |  |  |  |  |  |
|                      | 5,787,114             |                         | Ramamurthy et al.                               | 07-28-1998                             |  |  |  |  |  |
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| FOREIGN PATENT DOCUMENTS |                    |                         |         |                                     |              |                                                  |  |  |  |
|--------------------------|--------------------|-------------------------|---------|-------------------------------------|--------------|--------------------------------------------------|--|--|--|
| Examiner<br>Initials     | Document<br>Number | Kind Code<br>(if known) | Country | Date of Publication<br>(MM-DD-YYYY) | Trans<br>Yes | Translation<br>Yes No                            |  |  |  |
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|                                                                                                                                                                                                                                                                 | NON-PATENT LITERATURE DOCUMENTS                                                                                                                                          |  |  |  |  |  |  |  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|--|--|--|
| Examiner Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where publisher. |                                                                                                                                                                          |  |  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                                                 | N. Mukherjee, et al., "Built-In Self-Test: A Complete Test Solution for Telecommunication Systems", IEEI Communications Magazine, Vol. 37, No. 6, June 1999, pages 72-78 |  |  |  |  |  |  |  |  |
| M. Gagnon, et al., "Optical Communication Channel Test Using Bist Approaches", Proceedings of t<br>International Test Conference, ITC 1997, IEEE, Vol. Conf. 28, November 1, 1997, pages 626-635                                                                |                                                                                                                                                                          |  |  |  |  |  |  |  |  |
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| Examiner   | <br> | <br>Date       |   |      |
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|            |      | Date           |   |      |
| Signature  |      | Considered     | 1 |      |
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| *C34444150 | <br> |                |   | <br> |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.